BUSINESS CONTINUITY PLAN DISCLOSURE

Current Plan Version: May 2026

IDX Markets, LLC | 111 Town Square Place | Suite 430 | Jersey City, NJ 07310

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IDX Markets Business Continuity Plan Disclosure

IDX Markets, LLC (hereinafter "IDX Markets" or "the Firm") has developed a Business Continuity Plan ("BCP") on how the Firm will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, IDX Markets will have to be flexible in responding to actual events as they occur. As required by Financial Industry Regulatory Authority ("FINRA") Rule 4370, the Firm is providing its BCP Disclosure. The BCP is reviewed annually and updated as necessary.

Contacting Us

In the event that IDX Markets experiences a significant business disruption ("SBD"), senior management will call for the implementation of our BCP. If customers are, for any reason, unable to contact an IDX Markets representative, they may call our main business number +1 (844) 485-9439 for further instructions or go to our website www.idxmarkets.com.

Our Business Continuity Plan

We plan to quickly recover and resume business operations after an SBD and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the Firm's books and records, and allowing our customers to transact business. In short, our BCP is designed to permit our Firm to resume operations as quickly as possible, given the scope and severity of the SBD.

Our BCP addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business.

Varying Disruptions

SBDs can vary in their scope, affecting, for instance, (a) only our Firm, (b) a single building housing our Firm, (c) the business district where our Firm is located, (d) the city where we are located, or (e) the whole region. Within each of these scopes, the severity of the disruption can also vary from minimal to severe and impact our recovery timeframe.

IDX Markets' BCP also addresses the wide-ranging effects of a SBD including staff absenteeism, use of remote offices or telework arrangements, travel or transportation limitations, and technology interruptions.

Note however that it is impossible to predict the impact of a possible future business interruption. Though IDX Markets' solutions provide for recovery out of region, although at a possible reduced capacity, an out of region recovery depends not only on the availability of alternate workspace, but also, among other things, on the survival of telecommunications carrier infrastructure, mass transit capabilities and Firm personnel.

In the case of a pandemic, IDX Markets may introduce social distancing and other measures to avoid close physical proximity in the workplace and minimize the potential for transmission of a virus or other health risk. The Firm will monitor and follow guidance from FINRA, the SEC, Centers for Disease Control and Prevention and the World Health Organization with the goals of keeping employees safe, but also to maintain critical functions to support the business.

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For more information

If you have questions about our BCP, you can contact us at +1 (844) 485-9439 or through our website www.idxmarkets.com.

Disclaimer

IDX Markets attests that, to the best of its knowledge, all of the information contained in this document is accurate, and that the Firm has created a BCP that meets industry standards and regulatory requirements. In the event of an actual SBD, the Firm will make every reasonable effort to enact the BCP as intended. However, the plan summarized herein is highly dependent upon critical infrastructure and resources that the Firm maintains no control over, including, but not limited to: electricity, telecommunications, water, external exchanges, market utilities and clearing houses. The Firm cannot guarantee that it will be able to fully implement the plan summarized herein.

While the Firm believes the above to be a fair and accurate representation of its BCP, it may, from time to time, amend or revise the plan as necessary to support current business needs.

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